

UNITED STATES DISTRICT COURT  
DISTRICT OF NEVADA

MARCO GUZMAN,

Petitioner,

v.

WILLIAM GITTERE, *et al.*,

Respondents.

Case No. 3:17-cv-00515-HDM-CLB

**ORDER**

**I. SUMMARY**

This action is a petition for a writ of habeas corpus by Marco Guzman, an individual incarcerated at Nevada's Ely State Prison. Guzman is represented by appointed counsel. The case is before the Court for resolution of Guzman's claims on their merits. The Court will deny Guzman's petition and will deny him a certificate of appealability.

**II. BACKGROUND**

Guzman was convicted in 2012, following a jury trial, in Nevada's Eighth Judicial District Court (Clark County), of one count of second-degree murder with use of a deadly weapon and one count of first-degree murder with use of a deadly weapon. See Judgment of Conviction, Exh. 15 (ECF No. 14-15). For the second-degree murder, Guzman was sentenced to life in prison with the possibility of parole after 120 months plus a consecutive term of 12 to 240 months for use of the deadly weapon; for the first-degree murder, he was sentenced to life in prison with the possibility of parole after 240 months plus a consecutive term of 12 to 240 months for use of the deadly weapon. See *id.* The sentences for the two murders are to be served consecutively. See *id.*

1           Guzman appealed. See Appellant's Opening Brief, Exh. 16 (ECF No. 14-16);  
2           Appellant's Reply Brief, Exh. 18 (ECF No. 15-2). The Nevada Supreme Court affirmed  
3           on October 29, 2014. See Order of Affirmance, Exh. 19 (ECF No. 15-3).

4           On December 16, 2014, Guzman filed a counseled petition for writ of habeas  
5           corpus in the state district court. Petition for Writ of Habeas Corpus (Post-Conviction),  
6           Exh. 21 (ECF No. 15-5). The court conducted an evidentiary hearing (see Transcript,  
7           Exh. 74 (ECF No. 24-41)) and denied Guzman's petition in a written order filed  
8           February 10, 2016. See Findings of Fact, Conclusions of Law and Order, Exh. 25 (ECF  
9           No. 15-9, pp. 3–7). Guzman appealed. See Appellant's Opening Brief, Exh. 26 (ECF  
10          No. 15-10); Appellant's Reply Brief, Exh. 28 (ECF No. 15-12). The Nevada Supreme  
11          Court affirmed the denial of Guzman's petition on June 15, 2017. See Order of  
12          Affirmance, Exh. 29 (ECF No. 15-13).

13          This Court received from Guzman a *pro se* petition for writ of habeas corpus  
14          (ECF No. 4) initiating this action on August 25, 2017. The Court granted Guzman's  
15          motion for appointment of counsel and appointed counsel to represent him. See Order  
16          entered August 31, 2017 (ECF No. 3). With counsel, Guzman filed a first amended  
17          petition on April 2, 2018 (ECF No. 13) and a second amended petition on June 18, 2018  
18          (ECF No. 27). Respondents filed a motion to dismiss Guzman's second amended  
19          petition (ECF No. 28), and Guzman filed a related motion for leave to conduct discovery  
20          (ECF No. 33). Both of those motions were denied without prejudice after Guzman  
21          indicated he would request a stay to further exhaust claims in state court. See Order  
22          entered February 19, 2019 (ECF No. 40). Guzman filed a motion for stay (ECF No. 41),  
23          and the Court granted that motion and stayed the action on June 6, 2019, pending  
24          state-court proceedings. See Order entered June 6, 2019 (ECF No. 44).

25          On May 10, 2019, Guzman filed a petition for writ of habeas corpus in the state  
26          district court, initiating a second state habeas action. See Petition for Writ of Habeas  
27          Corpus, Exh. 37 (ECF No. 48-1). On August 13, 2019, the state district court denied  
28          Guzman's petition, ruling that all his claims were procedurally barred. See Findings of

1 Fact, Conclusions of Law, and Order, Exh. 43 (ECF No. 48-7). Guzman appealed. See  
2 Appellant's Opening Brief, Exh. 45 (ECF No. 48-9); Appellant's Reply Brief, Exh. 47  
3 (ECF No. 48-11). On November 3, 2020, the Nevada Supreme Court affirmed. See  
4 Order of Affirmance, Exh. 53 (ECF No. 48-17).

5 The stay of this action was lifted on January 19, 2021 (ECF No. 54), and  
6 Guzman filed a third amended petition for writ of habeas corpus (ECF No. 55).  
7 Guzman's third amended habeas petition, now his operative petition, includes the  
8 following claims:

9 Ground 1: Guzman's federal constitutional rights were violated because  
10 "[t]rial counsel conceded Mr. Guzman was guilty of second degree  
murder."

11 Ground 2: Guzman's federal constitutional rights were violated because  
12 the State presented insufficient evidence to convict him of murder.

13 Ground 2A: "Mr. Guzman is guilty only of voluntary  
manslaughter" for the killing of Anthony ("Tony") Dickerson.

14 Ground 2B: "Mr. Guzman is guilty only of voluntary  
15 manslaughter" for the killing of Tameron ("Tammy") Clewis.

16 Ground 3: Guzman's federal constitutional rights were violated on account  
17 of ineffective assistance of counsel because his appellate counsel "fail[ed]  
to argue the State presented insufficient evidence to convict Mr. Guzman  
of first degree murder regarding Tammy."

18 Ground 4: Guzman's federal constitutional rights were violated on account  
19 of ineffective assistance of counsel because his trial counsel failed to seek  
20 an advisory verdict, a directed verdict, or judgment notwithstanding the  
verdict.

21 Ground 5: Guzman's federal constitutional rights were violated on account  
22 of ineffective assistance of counsel because his trial counsel "conced[ed]  
Mr. Guzman was guilty of second degree murder."

23 Ground 6: Guzman's federal constitutional rights were violated on account  
24 of ineffective assistance of counsel because his trial counsel failed to  
consult with and hire expert witnesses.

25 Ground 6A: "Trial counsel should've called a physician to  
discuss Mr. Guzman's hand injury."

26 Ground 6B: "Trial counsel should've called a self-defense  
27 expert."

28 Ground 6C: "Trial counsel should've called an expert  
regarding meth."

1 Ground 7: Guzman's federal constitutional rights were violated on account  
2 of ineffective assistance of counsel because his trial counsel failed to  
challenge Jury Instruction 26.

3 Ground 8: Guzman's federal constitutional rights were violated because  
4 "[j]ury instruction 26 was fundamentally unfair."

5 Ground 9: Guzman's federal constitutional rights were violated  
6 because "[t]rial counsel failed to communicate a favorable plea offer to  
Mr. Guzman."

7 Ground 10: Guzman's federal constitutional rights were violated because  
8 "[t]he State failed to disclose material exculpatory information regarding its  
key witness and allowed that witness to testify falsely."

9 Ground 11: Guzman's federal constitutional rights were violated on  
10 account of ineffective assistance of counsel because his trial counsel  
"fail[ed] to investigate and present evidence regarding whether the State  
extended a favorable deal to a witness."

11 Third Amended Petition for Writ of Habeas Corpus (ECF No. 55), pp. 10–30.

12 Respondents filed a motion to dismiss on July 27, 2021 (ECF No. 63) contending  
13 that all of Guzman's claims are procedurally defaulted and that Ground 9 is  
14 inadequately pled and conclusory. Along with his opposition to the motion to dismiss  
15 (ECF No. 69), Guzman filed a motion for leave to conduct discovery (ECF No. 70). The  
16 Court denied the motion to dismiss in all respects on February 24, 2022 (ECF No. 74).  
17 With six exceptions, the Court's ruling on the defenses asserted by Respondents—that  
18 all Guzman's claims are procedural defaulted and that one of his claims fails because it  
19 is inadequately pled and conclusory—was deferred until after Respondents filed an  
20 answer and Guzman a reply. The exceptions involved the question of the procedural  
21 default of the claims in Grounds 1, 2A, 5, 6A, 6B and 6C; the Court determined that  
22 those claims are not procedurally defaulted because they were ruled upon by the  
23 Nevada Supreme Court on their merits. The Court denied Guzman's motion for leave to  
24 conduct discovery, without prejudice, in all respects.

25 Respondents filed their answer on December 2, 2022 (ECF No. 84). Guzman  
26 filed his reply on June 5, 2023 (ECF No. 92). On that date, Guzman also filed a motion  
27 for leave to conduct discovery (ECF No. 93) and a motion for evidentiary hearing (ECF  
28 No. 94). On September 20, 2023, Respondents filed oppositions to Guzman's motion

1 for leave to conduct discovery and motion for evidentiary hearing (ECF Nos. 98, 99).  
 2 Respondents filed a response to Guzman's reply on September 29, 2023 (ECF No.  
 3 102). And, on October 10, 2023, Guzman filed replies in support of his two motions  
 4 (ECF Nos. 103, 104).

### 5 **III. DISCUSSION**

#### 6 **A. Legal Standards**

##### 7 **1. Standard of Review - Claims Adjudicated in State Court**

8 28 U.S.C. § 2254(d), enacted as part of the Antiterrorism and Effective Death  
 9 Penalty Act of 1996 (AEDPA), sets forth the standard of review generally applicable to  
 10 claims asserted and resolved on their merits in state court:

11 An application for a writ of habeas corpus on behalf of a person in  
 12 custody pursuant to the judgment of a State court shall not be granted with  
 13 respect to any claim that was adjudicated on the merits in State court  
 proceedings unless the adjudication of the claim —

14 (1) resulted in a decision that was contrary to, or involved an  
 15 unreasonable application of, clearly established Federal law, as  
 determined by the Supreme Court of the United States; or

16 (2) resulted in a decision that was based on an unreasonable  
 17 determination of the facts in light of the evidence presented in the State  
 court proceeding.

18 28 U.S.C. § 2254(d).

19 A state court decision is contrary to clearly established Supreme Court  
 20 precedent, within the meaning of 28 U.S.C. § 2254(d)(1), "if the state court applies a  
 21 rule that contradicts the governing law set forth in [the Supreme Court's] cases" or "if the  
 22 state court confronts a set of facts that are materially indistinguishable from a decision  
 23 of [the Supreme Court] and nevertheless arrives at a result different from [the Supreme  
 24 Court's] precedent." *Lockyer v. Andrade*, 538 U.S. 63, 73 (2003) (quoting *Williams v.*  
 25 *Taylor*, 529 U.S. 362, 405–06 (2000)).

26 A state court decision is an unreasonable application of clearly established  
 27 Supreme Court precedent, within the meaning of 28 U.S.C. § 2254(d)(1), "if the state  
 28 court identifies the correct governing legal principle from [the Supreme Court's]

1 decisions but unreasonably applies that principle to the facts of the prisoner's case."  
 2 *Lockyer*, 538 U.S. at 75 (quoting *Williams*, 529 U.S. at 413). The "unreasonable  
 3 application" clause requires the state court decision to be more than incorrect or  
 4 erroneous; the state court's application of clearly established law must be objectively  
 5 unreasonable. *Id.* (quoting *Williams*, 529 U.S. at 409). The analysis under § 2254(d)  
 6 looks to the law that was clearly established by United States Supreme Court precedent  
 7 at the time of the state court's decision. *Wiggins v. Smith*, 539 U.S. 510, 520 (2003).

8 The Supreme Court has instructed that "[a] state court's determination that a  
 9 claim lacks merit precludes federal habeas relief so long as 'fairminded jurists could  
 10 disagree' on the correctness of the state court's decision." *Harrington v. Richter*, 562  
 11 U.S. 86, 101 (2011) (citing *Yarborough v. Alvarado*, 541 U.S. 652, 664 (2004)). The  
 12 Supreme Court has explained that "even a strong case for relief does not mean the  
 13 state court's contrary conclusion was unreasonable." *Id.* at 102 (citing *Lockyer*, 538 U.S.  
 14 at 75); *see also Cullen v. Pinholster*, 563 U.S. 170, 181 (2011) (AEDPA standard is "a  
 15 difficult to meet and highly deferential standard for evaluating state-court rulings, which  
 16 demands that state-court decisions be given the benefit of the doubt" (internal quotation  
 17 marks and citations omitted)).

## 18 2. Legal Standards - Exhaustion and Procedural Default

19 A federal court may not grant relief on a habeas corpus claim not exhausted in  
 20 state court. 28 U.S.C. § 2254(b). The exhaustion doctrine is based on the policy of  
 21 federal-state comity, and is designed to give state courts the initial opportunity to correct  
 22 alleged constitutional deprivations. *See Picard v. Conner*, 404 U.S. 270, 275 (1971). To  
 23 exhaust a claim, a petitioner must fairly present that claim to the highest available state  
 24 court and must give that court the opportunity to address and resolve it. *See Duncan v.*  
 25 *Henry*, 513 U.S. 364, 365 (1995) (per curiam); *Keeney v. Tamayo-Reyes*, 504 U.S. 1,  
 26 10 (1992).

27 In *Coleman v. Thompson*, 501 U.S. 722, 731 (1991), the Supreme Court held  
 28 that a state prisoner who fails to comply with the State's procedural requirements in

1 presenting his claims is barred by the adequate and independent state ground doctrine  
2 from obtaining a writ of habeas corpus in federal court. *Coleman*, 501 U.S. at 731–32  
3 (“Just as in those cases in which a state prisoner fails to exhaust state remedies, a  
4 habeas petitioner who has failed to meet the State’s procedural requirements for  
5 presenting his federal claims has deprived the state courts of an opportunity to address  
6 those claims in the first instance.”). Where such a procedural default constitutes an  
7 adequate and independent state ground for denial of habeas corpus, the default may be  
8 excused only if “a constitutional violation has probably resulted in the conviction of one  
9 who is actually innocent,” or if the prisoner demonstrates cause for the default and  
10 prejudice resulting from it. *Murray v. Carrier*, 477 U.S. 478, 496 (1986).

11 To demonstrate cause for a procedural default, the petitioner must “show that  
12 some objective factor external to the defense impeded” his efforts to comply with the  
13 state procedural rule. *Murray*, 477 U.S. at 488. For cause to exist, the external  
14 impediment must have prevented the petitioner from raising the claim. See *McCleskey*  
15 *v. Zant*, 499 U.S. 467, 497 (1991). With respect to the prejudice prong, the petitioner  
16 bears “the burden of showing not merely that the errors [complained of] constituted a  
17 possibility of prejudice, but that they worked to his actual and substantial disadvantage,  
18 infecting his entire [proceeding] with errors of constitutional dimension.” *White v. Lewis*,  
19 874 F.2d 599, 603 (9th Cir. 1989) (citing *United States v. Frady*, 456 U.S. 152, 170  
20 (1982)).

21 In *Martinez v. Ryan*, 566 U.S. 1 (2012), the Supreme Court ruled that ineffective  
22 assistance of post-conviction counsel may serve as cause, to overcome the procedural  
23 default of a claim of ineffective assistance of trial counsel. In *Martinez*, the Supreme  
24 Court noted that it had previously held, in *Coleman*, that “an attorney’s negligence in a  
25 postconviction proceeding does not establish cause” to excuse a procedural default.  
26 *Martinez*, 566 U.S. at 15 (citing *Coleman*, 501 U.S. at 746–47). The *Martinez* Court,  
27 however, “qualif[ied] *Coleman* by recognizing a narrow exception: inadequate  
28 assistance of counsel at initial-review collateral proceedings may establish cause for a

prisoner's procedural default of a claim of ineffective assistance at trial." *Id.* at 9. The *Martinez* Court stated:

Where, under state law, claims of ineffective assistance of trial counsel must be raised in an initial-review collateral proceeding, a procedural default will not bar a federal habeas court from hearing a substantial claim of ineffective assistance at trial if, in the initial-review collateral proceeding, there was no counsel or counsel in that proceeding was ineffective.

*Id.* at 17.

## **B. Guzman's Claims**

### **1. Grounds 1 and 5 - Counsel's Alleged Concession**

In Ground 1, Guzman claims that his federal constitutional rights were violated because "[t]rial counsel conceded Mr. Guzman was guilty of second degree murder." Third Amended Petition (ECF No. 55), pp. 10–12. Guzman claims that the concession violated his federal constitutional rights under the United States Supreme Court's holding in *McCoy v. Louisiana*, 138 S.Ct. 1500 (2018). See *id.* Ground 5 is a related claim of ineffective assistance of trial counsel; Guzman claims that his federal constitutional rights were violated on account of ineffective assistance of counsel because his trial counsel "conced[ed] Mr. Guzman was guilty of second degree murder." *Id.* at 17–18.

In the ruling on Respondents' motion to dismiss, the Court determined that Grounds 1 and 5 are not procedurally defaulted because the Nevada Supreme Court ruled on those claims on their merits. Order entered February 24, 2022 (ECF No. 74), pp. 7–8, 11. Therefore, the Court applies the AEDPA standard of review to both claims.

Guzman bases his claim in Ground 1 on the holding of the Supreme Court in *McCoy v. Louisiana*, 138 S.Ct. 1500 (2018). See Third Amended Petition for Writ of Habeas Corpus (ECF No. 55), pp. 10–1; see *also* Reply (ECF No. 92), pp. 6–13 ("... Ground One is a meritorious *McCoy* claim." (p. 9, line 7)). The Court determines that this claim is fundamentally flawed, however, because the Ninth Circuit Court of Appeals has held that the Supreme Court's holding in *McCoy* does not apply retroactively to cases on collateral review. *Christian v. Thomas*, 982 F.3d 1215,

1 1223–25 (9th Cir. 2020). Guzman was convicted in 2012, and the Nevada Supreme  
 2 Court affirmed his conviction in 2014. See Order of Affirmance, Exh. 19 (ECF No. 15-3).  
 3 The Supreme Court decided *McCoy* on May 14, 2018, while this federal habeas corpus  
 4 action was pending. That was long after Guzman’s judgment became final. As *McCoy*  
 5 does not apply retroactively to cases on collateral review, Ground 1 does not state a  
 6 claim upon which federal habeas corpus relief could be granted. The Court will therefore  
 7 deny Guzman habeas corpus relief with respect to Ground 1.

8 Turning to the claim of ineffective assistance of trial counsel in Ground 5, the  
 9 Nevada Supreme Court’s ruling on that claim, on the appeal in Guzman’s first state  
 10 habeas action, was as follows:

11 ... Guzman contends that counsel was ineffective because he  
 12 conceded Guzman’s guilt during closing argument after Guzman testified  
 13 that he acted in self-defense. We disagree. Counsel argued that Guzman  
 14 acted in self-defense but also argued that, in the alternative, Guzman’s  
 15 actions constituted second-degree murder or voluntary manslaughter  
 16 rather than first-degree murder. This strategy is entitled to deference and  
 17 was reasonable under the circumstances. See *Armenta-Carpio v. State*,  
 18 129 Nev. 531, 535, 306 P.3d 395, 398 (2013) (recognizing that “[a]  
 19 concession of guilt is simply a trial strategy—no different than any other  
 20 strategy the defense might employ at trial” and counsel’s decision should  
 21 be reviewed for reasonableness); *Doleman v. State*, 112 Nev. 843, 848,  
 921 P.2d 278, 280-81 (1996) (observing that strategic decisions are  
 virtually unchallengeable under most circumstances). [Footnote: This case  
 is distinguishable from *Jones v. State*, 110 Nev. 730, 877 P.2d 1052  
 (1994), because counsel did not undermine Guzman’s testimony or  
 suggest that his testimony was completely untruthful; counsel simply  
 acknowledged that Guzman’s actions might not meet the legal definition of  
 self-defense under the circumstances. Therefore, even assuming that  
*Jones* remains good law, see *Armenta-Carpio*, 129 Nev. at 536 n.1, 306  
 P.3d at 399 n.1, no relief is warranted.] Accordingly, we conclude that the  
 district court did not err by denying this claim.

22 Order of Affirmance, Exh. 29, pp. 1–2 (ECF No. 15-13, pp. 2–3).

23 In *Strickland v. Washington*, 466 U.S. 668 (1984), the Supreme Court  
 24 established a two-prong test for claims of ineffective assistance of counsel: the  
 25 petitioner must demonstrate (1) that the attorney’s representation “fell below an  
 26 objective standard of reasonableness,” and (2) that the attorney’s deficient performance  
 27 prejudiced the defendant such that “there is a reasonable probability that, but for  
 28 counsel’s unprofessional errors, the result of the proceeding would have been different.”

1 *Strickland*, 466 U.S. at 688, 694. A court considering a claim of ineffective assistance of  
2 counsel must apply a “strong presumption” that counsel’s representation was within the  
3 “wide range” of reasonable professional assistance. *Id.* at 689. The petitioner’s burden  
4 is to show “that counsel made errors so serious that counsel was not functioning as the  
5 ‘counsel’ guaranteed the defendant by the Sixth Amendment.” *Id.* at 687. In analyzing a  
6 claim of ineffective assistance of counsel under *Strickland*, a court may first consider  
7 either the question of deficient performance or the question of prejudice; if the petitioner  
8 fails to satisfy one element of the claim, the court need not consider the other. See  
9 *Strickland*, 466 U.S. at 697.

10 Where a state court previously adjudicated a claim of ineffective assistance of  
11 counsel under *Strickland*, establishing that the decision was unreasonable is especially  
12 difficult. See *Harrington*, 562 U.S. at 104–05. In *Harrington*, the Supreme Court  
13 explained that, in such cases, “[t]he standards created by *Strickland* and § 2254(d) are  
14 both highly deferential ... and when the two apply in tandem, review is ‘doubly’ so.”  
15 *Harrington*, 562 U.S. at 105 (citing *Knowles v. Mirzayance*, 556 U.S. 111, 123 (2009));  
16 see also *Cheney v. Washington*, 614 F.3d 987, 994-95 (2010) (double deference  
17 required with respect to state court adjudications of *Strickland* claims).

18 Guzman parses his trial counsel’s closing argument in an attempt to show that  
19 the Nevada Supreme Court’s ruling on the claim in Ground 5 was unreasonable, but in  
20 doing so he takes parts of counsel’s argument out of context and distorts the gist of the  
21 argument as a whole.

22 In his closing argument, trial counsel argued that Guzman acted in self-defense.  
23 Counsel began by reminding the jury that Guzman was in Dickerson’s apartment to  
24 settle a debt Dickerson owed to Guzman’s friend, “JoJo.” Trial Transcript, September  
25 27, 2012, Exh. 59, p. 69 (ECF No. 24-26, p. 70). Counsel noted that Dickerson was high  
26 on methamphetamine at the time. *Id.* at 70 (ECF No. 24-26, p. 71). Counsel stressed  
27 Guzman “was jumped from behind” and “put in a chokehold.” *Id.* at 71 (ECF No. 24-26,  
28 p. 72). Counsel argued that when Clewis saw Dickerson and Guzman “scuffling on the

1 ground,” she “gets a crowbar and she starts whacking [Guzman] in the head.” *Id.*  
2 Counsel asked the jurors “how many of those whacks with the crowbar is sufficient for  
3 my client to finally pull out the gun and start defending himself ...?” *Id.* Counsel argued:  
4 “[T]here’s really nothing ... to dispute my client’s story that he walked into the room and  
5 got immediately attacked by Mr. Dickerson.” *Id.* at 77 (ECF No. 24-26, p. 78). Only after  
6 making that argument, that Guzman acted in self-defense, did counsel go on to discuss  
7 the prosecution’s murder theories. He then argued:

8           Now the State wants you to call it first degree murder and they  
9           have talked about what is required to call it first degree murder. It has to  
10          be premeditated. And, of course, that instruction says that premeditation  
11          doesn’t take any time at all. It can occur as quickly as a successive  
12          thought of the mind. And, yet, it still must be present; premeditation,  
13          deliberation, willfulness.

14           Now I would submit to you ladies and gentlemen, despite the  
15           State’s recitation of the facts there is no evidence to suggest that any of  
16           those three requirements were present. But, even if there was they must  
17           all three be present. If you are to convict my [client] guilty of first degree  
18           murder, you must find that all three were present and those are in your  
19           jury instructions.

20           Second degree murder quite simply is murder that is not first  
21           degree murder. And you could certainly find my client guilty of second  
22           degree murder, doesn’t require that you find that it was premeditated.  
23           Doesn’t require that you find it was deliberate or willful. It only requires that  
24           my client acted with a malignant heart. I could certainly understand that.

25           You’ve also been given an instruction on voluntary manslaughter.  
26           .... And what that says is that voluntary manslaughter is the voluntary  
27           killing upon a sudden heat of passion, caused by provocation apparently  
28           sufficient to make the passion irresistible.

          The provocation required for voluntary manslaughter must either  
consist of a serious and highly provoking injury inflicted upon the person  
killing, sufficient to excite an irresistible passion in a reasonable person,  
or an attempt by the person killed to commit a serious personal injury on  
the person killing. The serious and highly provoking injury which causes  
the sudden heat of passion can occur without direct physical contact.  
However neither slight provocation nor an assault of a trivial nature will  
reduce a homicide from murder to manslaughter....

          Was the attack of my client of a trivial nature? Was the attack of  
my client a slight provocation? Again, my client’s in a dark room, he’s  
surrounded by strangers; he’s attacked from behind. He’s being choked.  
He’s having trouble breathing and then he’s hit on the back of the head  
with a crowbar. I will leave it to you ladies and gentlemen to decide  
whether or not that was a trivial matter.

1 And, lastly, ladies and gentlemen, my client has instructions for  
 2 you to consider on self-defense. The important thing about self-defense  
 3 ladies and gentlemen is that once my client makes the allegation, the  
 4 State has the burden of proof to demonstrate to you that it is not self-  
 5 defense and they must show that beyond a reasonable doubt.

6 Jury Instruction 28, actual danger is not necessary to justify a killing  
 7 in self-defense. A person has a right to defend from apparent danger to  
 8 the same extent as he would from actual danger. The person killing is  
 9 justified if: he is confronted by the appearance of imminent danger, which  
 10 arouses in his mind an honest belief and fear that he is about to be killed  
 11 or suffer great bodily injury. And he acts solely upon these appearances  
 12 and his fear and actual beliefs. And a reasonable person in a similar  
 13 situation would believe himself to be in like danger. The killing is justified  
 14 even if it develops afterward that the person killing was mistaken about the  
 15 extent of the danger. And that, ladies and gentlemen, is this case....

16 *Id.* at 77–79 (ECF No. 24-26, pp. 78–80).

17 Reading counsel's closing argument in its entirety, it is plain that he did not  
 18 abandon, or concede, the self-defense issue. Counsel's argument can reasonably be  
 19 understood as reasonably advocating a finding of self-defense, but, in the alternative, if  
 20 the jury were to find that did not apply, urging the jury to find voluntary manslaughter or  
 21 second-degree murder rather than first-degree murder. Affording deference to the state  
 22 court as required by 28 U.S.C. § 2254(d)—and deference to counsel as required by  
 23 *Strickland*—this Court determines that the Nevada Supreme Court reasonably ruled that  
 24 Guzman's trial counsel's closing argument was not deficient within the meaning of  
 25 *Strickland*. The Court will deny Guzman habeas corpus relief on Ground 5.

## 19 **2. Grounds 2A, 2B, 3 and 4 - Sufficiency of the Evidence**

### 20 **a. Ground 2A – Dickerson Homicide**

21 In Ground 2A, Guzman claims that there was insufficient evidence presented at  
 22 trial to convict him of second-degree murder for killing Anthony Dickerson. Third  
 23 Amended Petition (ECF No. 55), pp. 12–14.

24 In the ruling on Respondents' motion to dismiss, the Court determined that this  
 25 claim is not procedurally defaulted because Guzman asserted it on his direct appeal,  
 26 and the Nevada Supreme Court ruled on its merits. See Order entered February 24,  
 27 2022 (ECF No. 74), p. 8; see *also* Appellant's Opening Brief, Exh. 16, pp. 18–19 (ECF  
 28

No. 14-16, pp. 24–25); Order of Affirmance, Exh. 19, p. 2 (ECF No. 15-3, p. 3).

Therefore, the Court applies the AEDPA standard of review to this claim.

The Nevada Supreme Court ruled on this claim, on its merits, as follows:

Guzman contends that there was insufficient evidence to support his second-degree murder conviction. We review the evidence in the light most favorable to the prosecution and determine whether “any rational trier of fact could have found the essential elements of the crime beyond a reasonable doubt.” *Jackson v. Virginia*, 443 U.S. 307, 319 (1979) (emphasis omitted); *Mitchell v. State*, 124 Nev. 807, 816, 192 P.3d 721, 727 (2008). Here, the jury heard testimony that Guzman, Charles Deverna, Nathan Gray, and Anthony Dickerson went to Dickerson’s apartment to retrieve a printer. Once inside the apartment, Dickerson attacked Guzman and the two began fighting over a handgun. Deverna broke up the fight and was able to separate Dickerson from Guzman. However, Guzman still held the handgun and everyone else stood with their hands in the air and their palms facing outward. As Dickerson backed towards a wall with his hands up, Guzman shot him. Dickerson was shot twice, once in the chest and once in the back. We conclude that a rational juror could reasonably infer from this evidence that Guzman committed second-degree murder and was not acting in self-defense when he shot and killed Dickerson. See NRS 200.010(1); NRS 200.020; NRS 200.030(2); *People v. Hardin*, 102 Cal. Rptr. 2d 262, 268 n.7 (Ct. App. 2000) (the right to use force in self-defense ends when the danger ceases). It is for the jury to determine the weight and credibility to give conflicting testimony, and the jury’s verdict will not be disturbed on appeal where, as here, substantial evidence supports its verdict. See *Bolden v. State*, 97 Nev. 71, 73, 624 P.2d 20, 20 (1981).

Order of Affirmance, Exh. 19, p. 2 (ECF No. 15-3, p. 3).

The Nevada Supreme Court accurately stated the *Jackson* standard that applies to claims of insufficiency of evidence, and this Court determines that the Nevada Supreme Court reasonably applied that standard and denied the claim in Ground 2A.

The trial court instructed the jury regarding second-degree murder, as follows:

All murder which is not Murder of the First Degree is Murder of the Second Degree. Murder of the Second Degree is Murder with malice aforethought, but without the admixture of premeditation and deliberation[.]

\* \* \*

You are instructed that if you find that the State has established that the defendant has committed first degree murder you shall select first degree murder as your verdict. The crime of first degree murder includes the crime of second degree murder. You may find the defendant guilty of second degree murder if:

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1 For the sudden, violent impulse of passion to be irresistible  
 2 resulting in a killing, which is Voluntary Manslaughter, there must not have  
 3 been an interval between the assault or provocation and the killing  
 4 sufficient for the voice of reason and humanity to be heard; for, if there  
 5 should appear to have been an interval between the assault or  
 6 provocation given and the killing, sufficient for the voice of reason and  
 7 humanity to be heard, then the killing shall be determined by you to be  
 8 murder. The law assigns no fixed period of time for such an interval but  
 9 leaves its determination to the jury under the facts and circumstances of  
 10 the case.

11 \* \* \*

12 The heat of passion which will reduce a homicide to Voluntary  
 13 Manslaughter must be such an irresistible passion as naturally would be  
 14 aroused in the mind of an ordinarily reasonable person in the same  
 15 circumstances. A defendant is not permitted to set up his own standard of  
 16 conduct and to justify or excuse himself because his passions were  
 17 aroused unless the circumstances in which he was placed and the facts  
 18 that confronted him were such as also would have aroused the irresistible  
 19 passion of the ordinarily reasonable man if likewise situated. The basic  
 20 inquiry is whether or not, at the time of the killing, the reason of the  
 21 accused was obscured or disturbed by passion to such an extent as would  
 22 cause the ordinarily reasonable person of average disposition to act rashly  
 23 and without deliberation and reflection and from such passion rather than  
 24 from judgment.

25 \* \* \*

26 If you find the State has established that the defendant has  
 27 committed murder you shall select the appropriate degree of murder as  
 28 your verdict. The crime of murder may include the crime of voluntary  
 manslaughter. You may find the defendant guilty of voluntary  
 manslaughter if:

1. You have not found, beyond a reasonable doubt, that the  
 defendant is guilty of murder of either the first or second degree, and

2. All twelve of you are convinced beyond a reasonable doubt the  
 defendant is guilty of the crime of voluntary manslaughter.

If you are satisfied beyond a reasonable doubt that the killing was  
 unlawful, but you have a reasonable doubt whether the crime is murder or  
 voluntary manslaughter, you must give the defendant the benefit of that  
 doubt and return a verdict [of] voluntary manslaughter,

*Id.*, Instruction Nos. 23, 24, 25 (ECF No. 24-28, pp. 24–26).

The evidence at trial was sufficient for the jury to infer that Guzman committed  
 second-degree murder when he shot and killed Dickerson. As the Nevada Supreme  
 Court stated:

1 Once inside the apartment, Dickerson attacked Guzman and the two  
2 began fighting over a handgun. Deverna broke up the fight and was able  
3 to separate Dickerson from Guzman. However, Guzman still held the  
4 handgun and everyone else stood with their hands in the air and their  
palms facing outward. As Dickerson backed towards a wall with his hands  
up, Guzman shot him. Dickerson was shot twice, once in the chest and  
once in the back.

5 Order of Affirmance, Exh. 19, p. 2 (ECF No. 15-3, p. 3). There was evidence presented  
6 at trial—most importantly the testimony of Charles Deverna, an eyewitness—supporting  
7 a finding of those facts. Deverna's testimony included the following:

8 Q. Okay. And what did you do when you guys all got into the  
9 room?

10 A. I started—she [Clewis] had all the furniture moved against—  
11 into the kitchen, and the table and chairs and stuff, so I started moving  
12 them back and put them where they go. And then my son yells at me,  
Hey, dad, look. And when I turned around Tony [Dickerson] was wrestling  
with him [Guzman] down to the ground.

13 Q. Tony was wrestling with who?

14 A. With Smokey [Guzman].

15 Q. Okay. So you said Nathan [Deverna's adolescent son] called  
out for you to look and you looked and that's what you saw?

16 A. Yes.

17 Q. When you first looked at what Smokey and Tony were doing,  
18 what did you see?

19 A. I seen them wrestling around for the gun.

20 Q. So the gun was out?

21 A. Yeah, the gun was out.

22 Q. Who had the gun?

23 A. Smokey had the gun.

24 \* \* \*

25 Q. Okay. What did you do?

26 A. I opened the front door, told my son to get out.

27 Q. And did Nathan in fact run out?

28 A. Yeah, he ran out.

1 Q. What happened after Nathan left?

2 A. I was trying to break them up. Break up—so there wasn't  
3 gonna be no problems or nothing. I was trying to get them to split up. And  
4 once I got them—well, when they were wrestling around, I'm trying to get  
5 them split up, Tammy [Clewis] was standing over back of us and she—she  
6 just freaking out, hitting every—well, hitting all three of us with the  
7 crowbar, you know.

8 \* \* \*

9 Q. And what did you do as a result of Tammy doing that with  
10 the crowbar?

11 A. I took the crowbar from her and told her to stop.

12 Q. And did she in fact stop?

13 A. Yeah.

14 Q. What did Tammy do?

15 A. She just stopped and—she-just stopped and try to let me  
16 break it up.

17 Q. So did Tammy just stand there watching?

18 A. Yeah.

19 Q. What did you do with the crowbar?

20 A. I threw it towards the corner.

21 Q. After you got Tammy away from the scene and got the  
22 crowbar thrown over to the corner were you able to break those two up?

23 A. Yes, after a minute; yeah.

24 Q. So it took a minute?

25 A. Yeah.

26 Q. What happened after you got them separated?

27 A. Well once you separated—the gun came back out and we all  
28 put our hands up.

Q. Okay. Wait. Who took the gun out? Who made the gun come  
back out?

A. All right. Smokey still had a gun. It never—the gun stayed in  
his hand the whole time.

Q. Okay. So when you broke them up Smokey had the gun in  
his hand still?

1 A. Yes.

2 Q. Okay. And what happened when—you were just describing something that Smokey did with the gun?

3 A. Yeah.

4 Q. What was that?

5 A. Well once they split up everybody stand—stood up and he backed up towards the bed with the gun out, and Tony's backing up towards—towards the wall with his hands up in the air, and I was backing up towards the other side of the room with my hands up like this and that's when he got shot.

8 Q. Meaning Smokey?

9 A. Yeah. Smokey shot him; yeah.

10 \* \* \*

11 Q. And you did a motion just now when you were saying what Tony was doing. Was what—can you describe what that motion was?

13 A. We had our hands in the air.

14 Q. So you all had your hands in the air with the palms faced out?

15 A. Yes.

16 Q. Did Tony have anything in his hands?

17 A. No, he didn't.

18 Q. Was he able to get back to the wall as he's backing up?

19 A. He got maybe a couple—to like maybe two, three feet from it.

21 Trial Transcript, September 25, 2012, Exh. 56, pp. 177–82 (ECF No. 24-23, pp. 178–  
22 83). Dr. Elaine Olson, the medical examiner who performed the autopsy of Dickerson,  
23 testified that he was shot twice, once in the chest and once in the back. Trial Transcript,  
24 September 26, 2012, Exh. 58, pp. 82–90 (ECF No. 24-25, pp. 83–91).

25 The Supreme Court concluded from such evidence “that a rational juror could  
26 reasonably infer from this evidence that Guzman committed second-degree murder and  
27 was not acting in self-defense when he shot and killed Dickerson.” Order of Affirmance,  
28 Exh. 19, p. 2 (ECF No. 15-3, p. 3). That ruling was not contrary to, or an unreasonable

1 application of, *Jackson* or any other Supreme Court precedent, and was not based on  
 2 an unreasonable determination of the facts in light of the evidence presented. The Court  
 3 will deny Guzman habeas corpus relief with respect to Ground 2A.

4 **b. Grounds 2B, 3 and 4 – Clewis Homicide**

5 In Ground 2B, Guzman claims that his federal constitutional rights were violated  
 6 because there was insufficient evidence presented at trial to convict him of first-degree  
 7 murder for killing Clewis. Third Amended Petition (ECF No. 55), p. 15. In Ground 3,  
 8 Guzman claims that his federal constitutional rights were violated on account of  
 9 ineffective assistance of counsel because his appellate counsel “fail[ed] to argue the  
 10 State presented insufficient evidence to convict Mr. Guzman of first degree murder  
 11 regarding Tammy.” *Id.* at 15–16. And in Ground 4, Guzman claims that his federal  
 12 constitutional rights were violated on account of ineffective assistance of counsel  
 13 because his trial counsel failed to seek an advisory verdict, a directed verdict, or  
 14 judgment notwithstanding the verdict. *Id.* at 17.

15 In the ruling on Respondents’ motion to dismiss, the Court determined that each  
 16 of these three claims is subject to application of the procedural default doctrine. See  
 17 Order entered February 24, 2022 (ECF No. 74), pp. 8–11. The question, therefore, is  
 18 whether Guzman can overcome the procedural default of these claims.

19 Guzman argues that he can show cause and prejudice, to overcome the  
 20 procedural default of Ground 2B by showing that his appellate counsel and his trial  
 21 counsel were ineffective in handling the question whether there was sufficient evidence  
 22 presented to support the first-degree murder conviction. Guzman argues that his  
 23 appellate counsel should have raised, on his direct appeal, the issue of the sufficiency  
 24 of the evidence to support his first-degree murder conviction. Guzman argues his trial  
 25 counsel should have sought an advisory verdict, a directed verdict, or judgment  
 26 notwithstanding the verdict. Those arguments mirror Grounds 3 and 4. So, in order to  
 27 show cause and prejudice to overcome the procedural default of Ground 2B, Guzman  
 28 must overcome the procedural default of either or both of Grounds 3 and 4. See

1 *Edwards v. Carpenter*, 529 U.S. 446, 450–51 (2000). Guzman claims he can overcome  
2 the procedural default of Ground 4, the claim of ineffective assistance of trial counsel,  
3 under *Martinez*, because of ineffective assistance of his first state post-conviction  
4 counsel. Guzman claims he can likewise overcome the procedural default of Ground 3,  
5 the claim of ineffective assistance of appellate counsel, because of ineffective  
6 assistance of his first state post-conviction counsel; while the Supreme Court held in  
7 *Davila v. Davis*, 582 U.S. 521, 525 (2017), that *Martinez* does not apply to claims of  
8 ineffective assistance of appellate counsel, Guzman argues that *Davila* was wrongly  
9 decided, or alternatively, that *Davila* should not apply because Guzman’s post-  
10 conviction counsel effectively abandoned him with respect to claims of ineffective  
11 assistance of counsel because of an alleged conflict of interest.

12 All of Guzman’s arguments that he can show cause and prejudice to overcome  
13 the procedural defaults of Grounds 2B, 3 and 4 ultimately raise the question of the  
14 validity of his claim that there was insufficient evidence to support his first-degree  
15 murder conviction (and, regarding Ground 4, the validity of his argument as to his  
16 second-degree murder conviction as well). In order to meet the requirements of  
17 *Martinez*, and to establish the prejudice component of the cause and prejudice analysis,  
18 Guzman must make a showing that his claim of insufficiency of the evidence is at least  
19 a substantial claim.

20 In addition to the instructions regarding murder and manslaughter quoted above,  
21 the trial court gave the jury the following instructions concerning first-degree murder:

22 Murder of the first degree is murder which is perpetrated by means  
23 of any kind of willful, deliberate, and premeditated killing. All three  
24 elements—willfulness, deliberation, and premeditation—must be proven  
beyond a reasonable doubt before an accused can be convicted of first-  
degree murder.

25 Willfulness is the intent to kill. There need be no appreciable space  
26 of time between formation of the intent to kill and the act of killing.

27 Deliberation is the process of determining upon a course of action  
28 to kill as a result of thought, including weighing the reasons for and  
against the action and considering the consequences of the actions.

1 A deliberate determination may be arrived at in a short period of  
 2 time. But in all cases the determination must not be formed in passion, or  
 3 if formed in passion, it must be carried out after there has been time for  
 the passion to subside and deliberation to occur. A mere unconsidered  
 and rash impulse is not deliberate, even though it includes the intent to  
 kill.

4 Premeditation is a design, a determination to kill, distinctly formed  
 5 in the mind by the time of the killing.

6 Premeditation need not be for a day, an hour, or even a minute. It  
 7 may be as instantaneous as successive thoughts of the mind. For if the  
 jury believes from the evidence that the act constituting the killing has  
 8 been preceded by and has been the result of premeditation, no matter  
 how rapidly the act follows the premeditation, it is premeditated.

9 \* \* \*

10 The law does not undertake to measure in units of time the length  
 of the period during which the thought must be pondered before it can  
 11 ripen into an intent to kill which is truly deliberate and premeditated. The  
 time will vary with different individuals and under varying circumstances.

12 The true test is not the duration of time, but rather the extent of the  
 reflection. A cold, calculated judgment and decision may be arrived at in a  
 13 short period of time, but a mere unconsidered and rash impulse, even  
 though it includes an intent to kill, is not deliberation and premeditation as  
 14 will fix an unlawful killing as murder of the first degree.

15 \* \* \*

16 The intention to kill may be ascertained or deduced from the facts  
 and circumstances of the killing, such as the use of a weapon calculated  
 17 to produce death, the manner of its use, and the attendant circumstances  
 characterizing the act.

18  
 19 Jury Instructions, Exh. 61, Instruction Nos. 15, 16, 17 (ECF No. 24-28, pp. 16–18).

20 The Court determines that it is beyond any real dispute that a reasonable juror  
 21 could have found that Guzman's killing of Clewis was willful, deliberate and  
 22 premeditated, and was murder in the first degree. There was evidence that Guzman  
 23 took a loaded gun with him to collect on a debt for his friend, JoJo, and that, well before  
 24 he was attacked by Dickerson, Guzman handled the gun in a threatening manner. Trial  
 25 Transcript, September 25, 2012, Exh. 56, pp. 172, 175–76, 197–202 (ECF No. 24-23,  
 26 pp. 173, 176–77, 198–203) (testimony of Deverna). Then, Deverna testified, at  
 27 Dickerson and Clewis's apartment, after Deverna broke up the fight, the situation was  
 28 somewhat calm. *Id.* at 204 (ECF No. 24-23, p. 205). Deverna testified that Guzman,

1 however, was holding the gun, waiving it at Deverna, Dickerson and Clewis, and  
2 Deverna, Dickerson and Clewis were backing away from Guzman with their hands in  
3 the air, palms facing out, as if to surrender. *Id.* at 180–83, 206–07 (ECF No. 24-23,  
4 pp.181–84, 207–08). Then, after Guzman shot Dickerson there was a distinct pause  
5 before he shot Clewis. *Id.* at 41–43, 51–52, 55 (ECF No. 24-23, pp. 42–44, 52–53)  
6 (testimony of Richard Harrison, maintenance worker at apartments); *id.* at 98, 104, 105–  
7 06, 107 (ECF No. 24-23, pp. 99, 105, 106–07, 108) (testimony of Bonnie Belt,  
8 neighbor); *id.* at 110–11, 113 (ECF No. 24-23, pp. 111–12, 114) (testimony of Amy  
9 Greene, neighbor); *id.* at 117 (ECF No. 24-23, p. 118) (testimony of Ronald Flannery,  
10 neighbor); *id.* at 183–84, 214 (ECF No. 24-23, pp. 184–85, 215) (testimony of Deverna).  
11 Deverna testified that after Guzman shot Dickerson, “I kind of get everybody to calm  
12 down for a second,” “[t]hen [Guzman] tells me, You’re gonna drive me out of here.” *Id.*  
13 at 207 (ECF No. 24-23, p. 208). Then, after Guzman shot Dickerson, and after the  
14 pause, Guzman placed the barrel of the gun to the back of Clewis’s head and shot her  
15 execution style. Trial Transcript, September 26, 2012, Exh. 58, pp. 91–95, 95–102,  
16 106–09, 109–10 (ECF No. 24-25, pp. 92–96, 96–103, 107–10, 110–11) (testimony of  
17 Dr. Elaine Olson, the medical examiner who performed the autopsy of Clewis, that the  
18 gunshot wound on the back of Clewis’s head was a contact gunshot wound). There is  
19 then evidence that, after the shootings, Deverna was outside looking for his son and  
20 Guzman came running up behind him, and then they got in the truck and left; Deverna  
21 testified that he drove, and Guzman, in the passenger seat, held the gun in his lap and  
22 said “don’t do nothing stupid or nothing,” and “I still have more bullets in here.” Trial  
23 Transcript, September 25, 2012, Exh. 56, pp. 184–86 (ECF No. 24-23, pp. 185–87). In  
24 light of all this evidence, a reasonable juror could have found that there was time for the  
25 passion Guzman felt as a result of being attacked by Dickerson and hit with a crowbar  
26 by Clewis, to subside and deliberation to occur. A reasonable juror could have found  
27 that Guzman’s killing of Clewis, by shooting her, point blank, in the back of her head,  
28

1 was not a mere unconsidered and rash impulse, and that he acted willfully, deliberately  
2 and with premeditation, and thereby committed first degree murder.

3 Guzman's claim that there was insufficient evidence to support his first-degree  
4 murder conviction is not a substantial claim. Guzman does not meet the requirements of  
5 *Martinez* with respect to Grounds 3 and 4 (as it relates to the Clewis murder) and he  
6 cannot show prejudice with respect to either of those claims. (Nor can he meet the  
7 *Martinez* standard with respect to his claim in Ground 3 regarding the Dickerson  
8 murder, for the reasons explained above with respect to Ground 2A, as that is not a  
9 substantial claim either.) So, the Court determines that Grounds 3 and 4 fail as  
10 procedurally defaulted. And it follows that, because Grounds 3 and 4 fail as procedurally  
11 defaulted, Guzman cannot show cause and prejudice with respect to Ground 2B. See  
12 *Edwards*, 529 U.S. at 450–51. The Court will deny Guzman's claims in Grounds 2B, 3  
13 and 4 as procedurally defaulted.

### 14 **3. Grounds 6A, 6B and 6C – Expert Witnesses**

#### 15 **a. Ground 6A**

16 In Ground 6A, Guzman claims that his federal constitutional rights were violated  
17 on account of ineffective assistance of counsel because his trial counsel “should’ve  
18 called a physician to discuss Mr. Guzman’s hand injury.” Third Amended Petition (ECF  
19 No. 55), pp. 19–21.

20 In the ruling on Respondents’ motion to dismiss, the Court determined that this  
21 claim is not procedurally defaulted because Guzman asserted it on the appeals in his  
22 first and second state habeas actions, and the Nevada Supreme Court ruled on its  
23 merits. See Order entered February 24, 2022 (ECF No. 74), pp. 11–12; see *also*  
24 Appellant’s Opening Brief, Exh. 26, pp. 18–25 (ECF No. 15-10, pp. 24–31); Order of  
25 Affirmance, Exh. 29, p.3 (ECF No. 15-13, p. 4); Appellant’s Opening Brief, Exh. 45, pp.  
26 33–39 (ECF No. 48-9, pp. 46–52); Order of Affirmance, Exh. 53, p. 2 (ECF No. 48-17, p.  
27 3). Therefore, the Court applies the AEDPA standard of review to this claim.

1 In denying this claim on the appeal in Guzman's first state habeas action, the  
2 Nevada Supreme Court ruled:

3 ... Guzman contends that counsel should have presented medical  
4 records or expert testimony establishing that his right hand was injured at  
5 the time of the incident. Because the evidence presented at trial  
6 established that Guzman's hand was in a cast at the time of the incident  
and further evidence regarding the extent of his injuries was unnecessary,  
particularly given that he admitted to shooting the victims, Guzman failed  
to demonstrate deficient performance or prejudice.

7 Order of Affirmance, Exh. 29, p. 3 (ECF No. 15-13, p. 4). On Guzman's appeal in his  
8 second state habeas action, the Nevada Supreme Court applied the law of the case  
9 doctrine and commented that the new evidence Guzman offered in support of the claim  
10 would have no effect on the outcome, and again denied relief on the claim. Order of  
11 Affirmance, Exh. 53, p. 2 (ECF No. 48-17, p. 3). This Court determines that the Nevada  
12 Supreme Court's ruling on this claim was reasonable.

13 The crux of the Nevada Supreme Court's ruling was that "the evidence presented  
14 at trial established that Guzman's hand was in a cast at the time of the incident and  
15 further evidence regarding the extent of his injuries was unnecessary...." Order of  
16 Affirmance, Exh. 29, p. 3 (ECF No. 15-13, p. 4).

17 There is no question that there was ample evidence that Guzman's right hand  
18 was in a cast when he killed Dickerson and Clewis. His own testimony was as follows:

19 Q. All right. Now, there's been some testimony that at the time  
20 of the events that, you know, form the basis of this proceeding you had a  
cast on your hand.

21 A. Yes.

22 Q. Did you have a cast on your hand?

23 A. Yes.

24 Q. Why did you have a cast on your hand?

25 A. I had a boxer sprain.

26 Q. I'm sorry?

27 A. I had a boxer sprain.

28 Q. Okay. Do you know how you received that injury?

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A. I was boxing.

Q. Okay. What medical treatment did you receive as a result of that injury?

A. I had a pin. I had a pin in my hand.

Q. And how was that put in your hand?

A. Oh, I had surgery. It was like this long [gesturing] of a pin.

Q. Okay. And that cast was still on your hand on the date and time that these incidents occurred?

A. Yes.

Q. And was that on your left or right hand?

A. My right hand.

Q. Are you left or right-handed?

A. Right-handed.

Q. Can you show the jury where that cast was on your hand?

A. Yes. It started from here [indicating]—

Q. And you're indicating like your middle knuckle?

A. My middle knuckle to my—to the back of my forearm [indicating].

Trial Transcript, September 27, 2012, Exh. 59, pp. 7–8 (ECF No. 24-26, pp. 8–9). After eliciting that testimony from Guzman, Guzman's trial counsel argued its significance at the very beginning of his closing argument:

... [L]et us talk first about my client's cast and the significance of that. My client testified that he had surgery on his arm. He had a pin inserted in his arm. This is not refuted. Nobody came in here to testify that that wasn't true. My client had a cast on his arm; this is not refuted; nobody came in here to testify that that wasn't true. In fact, even the detective acknowledged that the information he had was that my client had a cast when this incident occurred.

So what is the significance of my client having a cast on his arm? Is the significance that, you know, our position is he couldn't draw a rudimentary map? Is the significance of my client having a cast on his arm that he couldn't pick up a pencil and sketch this diagram the next day? No, that is not the significance of the cast on my client's arm. The significance of the cast on my client's arm is that he could not hold a handgun in his

1 right hand. My client is right-handed. That is unrefuted. No one has come  
in to testify that that is not true.

2 Now, many of you on this jury I asked in jury selection if you had  
3 ever fired a handgun[.] And the reason I asked that ... is because if you  
4 ever have fired a handgun, you know that it's not an easy thing to do. It  
5 takes training to fire a handgun accurately. It takes practice to fire a  
handgun accurately. If you are a police officer, you have to demonstrate a  
certain level of skill with a handgun before you can even carry one on the  
job. And it is nothing ... you can do the very first time you pick one up.

6 My client testified and this is unrefuted, nobody came in and  
7 testified that it wasn't true, that he had never fired a handgun before. Now,  
8 it is doubly difficult or perhaps triply or perhaps tenfold to fire a handgun  
9 with [your] left hand if you're right-handed or your right hand if you're left-  
handed. If you have ever tried to do this in training, you know that it is  
extremely difficult.

10 Now, I understand the gun was never recovered in this case and I  
11 don't know what kind of weapon it was. Perhaps it was a finely oiled and  
12 maintained weapon with a perfect action that was smooth; it could have  
13 been, but it was never recovered so we can't say. Similarly, it could have  
been an old rusty gun, which it was described by one of the witnesses, I  
believe Nathan said it was, in which case it would have been very difficult  
to pull the trigger. But we never found the gun, so we can't say.

14 In any case, shooting with your left hand when you're right-handed  
15 is very, very difficult. It's very hard to be accurate. And, additionally, when  
16 you're in a situation like my client was, where he's in a dark room, where  
he's surrounded by strangers, two of whom are attacking him, all of whom  
are high on methamphetamine, it is much more difficult to fire that weapon  
accurately.

17 That is the significance ladies and gentlemen of my client having a  
18 cast. He could not hold a gun in his right hand. He had to fire it with his left  
19 hand. He had never fired a gun before. And, yet, the State wants you to  
believe that he was a great shot. That he hit what he aimed at which is  
simply, simply not true.

20 *Id.* at 65–67 (ECF No. 24-26, pp. 66–68).

21 Guzman's trial counsel testified about this matter, as follows, at the evidentiary in  
22 Guzman's first state habeas action:

23 Q. Okay. Have you been given an opportunity this morning to  
24 look over some medical records that I handed to you?

25 A. Yes.

26 Q. Okay. Did it appear to demonstrate to you that he, in fact,  
27 had a fractured hand?

28 A. Yes.

1 Q. Okay. And do you believe that that would have been helpful  
2 to you if you'd been able to show the jury that actually he had a fractured  
3 hand?

4 A. You know, I don't really see that as being helpful. I mean my  
5 whole point was whatever the condition of his hand was he had a cast on.  
6 His hand was immobile, you know. Whether it, you know, whether it was  
7 broken; whether it was in the process of healing; whether it was healed  
8 and he was getting ready to take it off. I didn't see that as the issue. The  
9 issue to me was he had a cast on and he could not use his right hand.  
10 Certainly, could not use it to draw a weapon, no matter what the medical  
11 condition of his hand was.

12 \* \* \*

13 Q. Did you feel that the prosecution was essentially mocking  
14 the defense strategy that his hand was so injured that this would have  
15 really diminished his ability to defense himself?

16 A. Well, I don't know that they were mocking the defense  
17 strategy but I think they were misunderstanding it. I mean the defense  
18 strategy was his hand was immobilized because he wore—he had a cast,  
19 you know. It doesn't matter what the condition of his hand is; you cannot  
20 fire a gun with—you cannot fire a handgun when your hand is in a cast,  
21 you can't.

22 \* \* \*

23 Q. Would you have seen any harm in contacting the doctor,  
24 let's say, and putting forth the doctor just to describe the limitations?

25 A. Well, I mean, look, I didn't know what stage of healing his  
26 hand was in. I suppose the harm would have been if his cast was getting  
27 ready to come off. I don't know. I don't remember, you know, where he  
28 was in the healing process. And I suppose if the doctor came in and said,  
well, yeah, it was just about ready to come off that might not have been  
helpful.

29 \* \* \*

30 Q. You looked at it that, hey, he was in a cast; I've got his  
31 testimony, there we go, essentially?

32 A. Well, I looked at it as he was in a cast and, therefore, he was  
33 you know, he was handicapped in this fight, by virtue of being in a cast.

34 \* \* \*

35 Q. So the extent of the injury would not have mattered to—in  
36 your strategy?

37 A. No, the fact that he could not fire a gun with his right hand  
38 because of the cast was the important point in my mind.

1 Transcript of Evidentiary Hearing, December 1, 2015, Exh. 74, pp. 8–11, 32 (ECF No.  
2 24-41, pp. 9–12, 33).

3 Guzman does not make any showing that it would have added to his defense to  
4 have presented evidence of the precise medical condition of his hand. The jury was  
5 informed that his dominant right hand was in a cast, that he was disadvantaged when  
6 attacked by Dickerson and Clewis, and that he had to operate the handgun with his left  
7 hand. As Guzman’s counsel explained in his testimony at the evidentiary hearing, the  
8 exact medical condition of the hand in the cast was not an issue.

9 The Nevada Supreme Court reasonably ruled that Guzman’s trial counsel did not  
10 perform inadequately in not presenting testimony of Guzman’s treating physician, or any  
11 other expert witness, regarding the condition of his hand when he shot and killed  
12 Dickerson and Clewis, and that Guzman’s defense was not prejudiced. The Nevada  
13 Supreme Court’s ruling was not contrary to, or an unreasonable application of,  
14 *Strickland*, or any other Supreme Court precedent, and was not based on an  
15 unreasonable determination of the facts in light of the evidence. The Court will deny  
16 Guzman habeas corpus relief on the claim in Ground 6A.

17 **b. Ground 6B**

18 In Ground 6B, Guzman claims that his federal constitutional rights were violated  
19 on account of ineffective assistance of counsel because his trial counsel “should’ve  
20 called a self-defense expert.” Third Amended Petition (ECF No. 55), p. 22.

21 In the ruling on Respondents’ motion to dismiss, the Court determined that this  
22 claim is not procedurally defaulted because Guzman asserted it on the appeals in his  
23 first and second state habeas actions, and the Nevada Supreme Court ruled on its  
24 merits. See Order entered February 24, 2022 (ECF No. 74), pp. 11–12; see *also*  
25 Appellant’s Opening Brief, Exh. 26, pp. 18–25 (ECF No. 15-10, pp. 24–31); Order of  
26 Affirmance, Exh. 29, pp. 2–3 (ECF No. 15-13, pp. 3–4); Appellant’s Opening Brief,  
27 Exh. 45, pp. 33–39 (ECF No. 48-9, pp. 46–52); Order of Affirmance, Exh. 53, p. 2 (ECF  
28

1 No. 48-17, p. 3). Therefore, the Court applies the AEDPA standard of review to this  
2 claim.

3 On the appeal in Guzman's first state habeas action, the Nevada Supreme Court  
4 denied relief on this claim, ruling as follows:

5 ... Guzman contends that counsel should have presented  
6 testimony from a "self-defense expert." At the evidentiary hearing,  
7 counsel explained that he did not believe such an expert was necessary  
8 because the circumstances spoke for themselves and an expert would  
9 have undoubtedly been confronted with the unhelpful facts that Guzman  
10 went to the victims' apartment to enforce a debt, brought a firearm, and  
11 shot one of the victims in the back of the head at close range. Guzman  
12 failed to demonstrate that a reasonable attorney would have pursued this  
13 matter further. See *Strickland*, 466 U.S. at 691 ("[C]ounsel has a duty to  
14 make reasonable investigations or to make a reasonable decision that  
makes particular investigations unnecessary."). Moreover, Guzman did not  
identify an expert in his petition or at the evidentiary hearing or provide  
specific examples of what testimony an expert would have provided.  
[Footnote: Although Guzman stated below that the district court had not  
authorized funding for him to contact experts, he does not raise this matter  
on appeal.] He therefore failed to demonstrate a reasonable probability  
that the result of trial would have been different but for counsel's error.  
Accordingly, we conclude that the district court did not err by denying this  
claim.

15 Order of Affirmance, Exh. 29, pp. 2–3 (ECF No. 15-13, pp. 3–4). On Guzman's appeal  
16 in his second state habeas action, the Nevada Supreme Court applied the law of the  
17 case doctrine and again denied relief on the claim. Order of Affirmance, Exh. 53, p. 2  
18 (ECF No. 48-17, p. 3).

19 The Nevada Supreme Court's ruling was reasonable, and it was supported by  
20 the testimony of Guzman's trial counsel at the evidentiary hearing. See Transcript of  
21 Evidentiary Hearing, December 1, 2015, Exh. 74, pp. 14–21, 32 (ECF No. 24-41, pp.  
22 15–22).

23 Furthermore, Guzman did not in his state habeas actions—and he has not in this  
24 case—identified any "self-defense expert" his counsel could have called to testify and  
25 he has not shown what testimony any such expert would have provided. With respect to  
26 this, in his reply, Guzman states:

27 Mr. Guzman acknowledges he hasn't presented a specific potential  
28 expert, and he recognizes that under current law that omission will likely

1 impact the Court's prejudice analysis regarding this claim. *See, e.g., Djerf*  
 2 *v. Ryan*, 931 F.3d 870, 881 (9th Cir. 2019).

3 Reply (ECF No. 92), p. 50. This Court agrees that this affects the prejudice analysis. It  
 4 also affects the performance analysis.

5 Guzman does not show that the Nevada Supreme Court was unreasonable in  
 6 ruling that his trial counsel did not perform inadequately in not presenting a "self-  
 7 defense expert" and that he was not prejudiced. The Court will deny Guzman habeas  
 8 corpus relief on his claim in Ground 6B.

### 9 **c. Ground 6C**

10 In Ground 6C, Guzman claims that his federal constitutional rights were violated  
 11 on account of ineffective assistance of counsel because his trial counsel "should've  
 12 called an expert regarding meth." Third Amended Petition (ECF No. 55), pp. 22–23.

13 In the ruling on Respondents' motion to dismiss, the Court determined that this  
 14 claim is not procedurally defaulted because Guzman asserted it on the appeals in his  
 15 first and second state habeas actions, and the Nevada Supreme Court ruled on its  
 16 merits. *See* Order entered February 24, 2022 (ECF No. 74), pp. 11–12; *see also*  
 17 Appellant's Opening Brief, Exh. 26, pp. 18–25 (ECF No. 15-10, pp. 24–31); Order of  
 18 Affirmance, Exh. 29, p. 3 (ECF No. 15-13, p. 4); Appellant's Opening Brief, Exh. 45,  
 19 pp. 33–39 (ECF No. 48-9, pp. 46–52); Order of Affirmance, Exh. 53, p. 2 (ECF No.  
 20 48-17, p. 3). Therefore, the Court applies the AEDPA standard of review to this claim.

21 On the appeal in Guzman's first state habeas action, the Nevada Supreme Court  
 22 denied relief on this claim, ruling as follows:

23 ... Guzman contends that counsel should have presented expert  
 24 testimony from a toxicologist, who could have explained that the victims'  
 25 methamphetamine use would have made them aggressive and paranoid.  
 26 Counsel explained that he made a strategic decision to elicit this testimony  
 27 from one of the State's witnesses. This decision was reasonable. Guzman  
 28 also failed to demonstrate a reasonable probability of a different result had  
 this evidence been presented. Therefore, we conclude that the district  
 court did not err by denying this claim.

Order of Affirmance, Exh. 29, p. 3 (ECF No. 15-13, p. 4). On Guzman's appeal in his  
 second state habeas action, the Nevada Supreme Court applied the law of the case

1 doctrine and again denied relief on the claim. Order of Affirmance, Exh. 53, p. 2 (ECF  
2 No. 48-17, p. 3).

3 The Nevada Supreme Court's ruling was reasonable, and it was supported by  
4 the testimony of Guzman's trial counsel at the evidentiary hearing. See Transcript of  
5 Evidentiary Hearing, December 1, 2015, Exh. 74, pp. 12–14, 32 (ECF No. 24-41, pp.  
6 13–15).

7 Furthermore, here again, Guzman did not in his state habeas actions—and he  
8 has not in this case—identified any expert witness his counsel could have called to  
9 testify and he has not shown what testimony any such expert would have provided. And  
10 again, Guzman states:

11 Mr. Guzman acknowledges he hasn't presented a specific potential  
12 expert, and he recognizes that under current law that omission will likely  
13 impact the Court's prejudice analysis regarding this claim. See, e.g., *Djerf*,  
931 F.3d at 881.

14 Reply (ECF No. 92), p. 51.

15 Guzman does not show that the Nevada Supreme Court was unreasonable in  
16 ruling that his trial counsel did not perform inadequately in not presenting expert  
17 testimony on the effects of methamphetamine and that he was not prejudiced. The  
18 Court will deny Guzman habeas corpus relief on his claim in Ground 6C.

#### 19 **4. Grounds 7 and 8 – Jury Instruction No. 26**

20 In Ground 7, Guzman claims that his federal constitutional rights were violated on  
21 account of ineffective assistance of counsel because his trial counsel failed to challenge  
22 Jury Instruction 26. Third Amended Petition (ECF No. 55), pp. 23–25. In Ground 8,  
23 Guzman claims that his federal constitutional rights were violated because “[j]ury  
24 instruction 26 was fundamentally unfair.” *Id.* at 25.

25 In the ruling on Respondents' motion to dismiss, the Court determined that the  
26 claims in Grounds 7 and 8 are subject to application of the procedural default doctrine.  
27 See Order entered February 24, 2022 (ECF No. 74), pp 12–13. The question, therefore,  
28 is whether Guzman can overcome the procedural default of these claims.

1           Guzman argues that he can overcome the procedural default of the ineffective  
2 assistance of trial counsel claim in Ground 7 under *Martinez*, and he argues that he can  
3 overcome the procedural default of the substantive claim in Ground 8 by showing  
4 ineffective assistance of his trial counsel with respect to the issue, as alleged in Ground  
5 7. As a practical matter, both arguments turn on the validity of the substantive claim. To  
6 satisfy *Martinez*, with respect to Ground 7, Guzman must show that the claim of  
7 ineffective assistance of trial counsel is substantial, which essentially means showing  
8 that there was a valid issue to be raised regarding the jury instruction. And, with respect  
9 to the substantive claim in Ground 8, to overcome the procedural default, Guzman must  
10 show that he was prejudiced by his trial counsel's failure to raise the issue, or in other  
11 words, that there was some merit to the claim.

12           Jury Instruction 26 addressed the matter of self-defense. Guzman takes issue  
13 with the part of Instruction 26 that stated: "An honest but unreasonable belief in the  
14 necessity for self-defense does not negate malice and does not reduce the offense from  
15 murder to manslaughter." See Third Amended Petition (ECF No. 55), pp. 23–25; see  
16 *also* Jury Instruction 26, Exh. 61 (ECF No. 24-28, p. 27).

17           Notably, in 2000, the Nevada Supreme Court expressly approved of, and  
18 suggested use of, exactly the language in Jury Instruction 26. See *Runion v. State*, 116  
19 Nev. 1041, 1051, 13 P.2d 52, 59 (2000). Of course, that case involved different facts,  
20 and the sample instruction was provided by the Nevada Supreme Court only for  
21 consideration by the district courts, but that is at least a strong indication that the  
22 instruction is a correct statement of Nevada law, and, importantly, that any challenge to  
23 the instruction at trial would have been futile.

24           Moreover, in the context of the facts of Guzman's case, the language of  
25 Instruction 26 that he complains about was not inaccurate or confusing. Again, that  
26 language was: "An honest but unreasonable belief in the necessity for self-defense  
27 does not negate malice and does not reduce the offense from murder to manslaughter."  
28 Guzman argues:

1 This is a confusing instruction because it incorrectly suggests that if a  
 2 defendant unsuccessfully argues self-defense, then the defendant is  
 3 ineligible for a voluntary manslaughter conviction and must be found guilty  
 of some form of murder.

4 Third Amended Petition (ECF No. 55), p. 24. However, the statement of law in  
 5 Instruction 26 that Guzman complains of simply—and accurately—identifies a factual  
 6 scenario that *would not* reduce the offense from murder to manslaughter; it does not  
 7 conflict with or undermine the other jury instructions that accurately informed the jury of  
 8 circumstances that *would* reduce the offense from murder to manslaughter. See Jury  
 9 Instructions 12, 13, 14, 18, 19, 23, 24, 25, Exh. 61 (ECF No. 24-28, pp. 13, 14, 15, 19,  
 10 20, 24, 25, 26). Put differently, read within the context of all the instructions provided to  
 11 the jury, Instruction 26 was not misleading.

12 This Court finds that Guzman’s challenge to Jury Instruction 26 is wholly without  
 13 merit. Guzman’s trial counsel did not perform unreasonably in not raising this issue, and  
 14 Guzman was not prejudiced. With regard to the claim in Ground 7, Guzman does not  
 15 show that his claim of ineffective assistance of trial counsel is substantial, within the  
 16 meaning of *Martinez*.

17 The Court will deny habeas corpus relief on Grounds 7 and 8 as procedurally  
 18 defaulted.

## 19 **5. Ground 9 – Settlement Offer**

20 In Ground 9, Guzman claims that his federal constitutional rights were violated  
 21 because “[t]rial counsel failed to communicate a favorable plea offer to Mr. Guzman.”  
 22 Third Amended Petition (ECF No. 55), p. 26.

23 In the ruling on Respondents’ motion to dismiss, the Court determined that this  
 24 claim is subject to application of the procedural default doctrine. See Order entered  
 25 February 24, 2022 (ECF No. 74), p 14. That is because Guzman failed to raise this  
 26 claim in his first state habeas action (see Petition for Writ of Habeas Corpus (Post-  
 27 Conviction), Exh. 21 (ECF No. 15-5); Appellant’s Opening Brief, Exh. 26 (ECF No. 15-  
 28 10); Appellant’s Reply Brief, Exh. 28 (ECF No. 15-12)), and when he raised it in his

1 second state habeas action, the Nevada Supreme Court ruled the claim procedurally  
 2 barred (see Order of Affirmance, Exh. 53, p. 3 (ECF No. 48-17, p. 4)). The Nevada  
 3 Supreme Court's ruling that this claim (along with the claims in Grounds 10 and 11) was  
 4 procedurally barred was as follows:

5           Guzman also contends that he established prejudice with  
 6 respect to his claims that his trial counsel failed to communicate a plea  
 7 offer to him (Ground 9), that the State committed a *Brady* violation by  
 8 failing to turn over evidence that it gave favorable treatment to a witness  
 9 (Ground 10), and that Guzman's trial counsel was ineffective for failing to  
 investigate whether favorable treatment was given. We review these  
 issues de novo. *State v. Huebler*, 128 Nev. 192, 197–98, 275 P.3d 91, 95–  
 96 (2012).

10           Irrespective of whether Guzman established prejudice, we  
 11 agree with the district court that Guzman failed to establish good cause to  
 12 bring those claims in the underlying petition. See *Hathaway v. State*, 119  
 13 Nev. 248, 253, 71 P.3d 503, 506 (2003) (“[A] claim or allegation that was  
 14 reasonably available to the petitioner during the statutory time period  
 would not constitute good cause to excuse the delay.”). Accordingly, the  
 district court correctly determined that Grounds 9, 10 and 11 in Guzman's  
 second petition were procedurally barred and that an evidentiary hearing  
 was unwarranted.

15 Order of Affirmance, Exh. 53, pp. 2–3 (ECF No. 48-17, pp. 3–4).

16           Guzman contends that he can overcome the procedural default of the claim in  
 17 Ground 9, under *Martinez*, by showing that his counsel in his first state habeas action  
 18 was ineffective for failing to assert the claim. To do this, though, Guzman has to show  
 19 that his claim that trial counsel failed to convey to him a settlement offer is at least a  
 20 substantial claim, and he fails to do so.

21           Guzman's claim in Ground 9, in its entirety, is as follows:

22           *On information and belief*, the State presented Mr. Guzman with a  
 23 plea offer. While the prosecution represented at an August 22, 2011,  
 24 hearing that it hadn't made a “specific offer” at that point (ECF No. 24-12  
 ([Exh.] 45)), *on information and belief*, the State did extend a specific offer  
 25 after that point (or it had previously extended general offers). See ECF No.  
 42-2 [Exh. 36] ¶ 3. *On information and belief*, the plea offer would've been  
 26 more favorable to Mr. Guzman than the convictions he received after trial.  
 27 *On information and belief*, counsel never communicated any plea offers to  
 Mr. Guzman. *On information and belief*, had counsel communicated a plea  
 offer to Mr. Guzman, he would've agreed to it, and the state court  
 28 would've accepted it. Mr. Guzman's Sixth Amendment rights were  
 therefore violated. See *Lafler v. Cooper*, 566 U.S. 156 (2012).

1 Third Amended Petition (ECF No. 55), p. 26 (emphasis added).

2 Under well-established Supreme Court precedent, the Sixth Amendment right to  
3 effective assistance of counsel extends to the plea-bargaining process. *See Lafler v.*  
4 *Cooper*, 566 U.S. 156, 162–63 (2012); *Missouri v. Frye*, 566 U.S. 134, 144–45 (2012).  
5 Defense counsel generally “has the duty to communicate *formal* offers from the  
6 prosecution to accept a plea on terms and conditions that may be favorable to the  
7 accused.” *Frye*, 566 U.S. at 145 (emphasis added).

8 At a pre-trial conference on August 22, 2012, 33 days before Guzman’s trial  
9 commenced, the following exchange occurred:

10 THE COURT: ....Any offers outstanding that we need to  
11 communicate? Or frankly, the way I like to handle this as a consequence  
12 of the new U.S. Supreme Court direction is—is that there’s conversations  
13 and that offers have been conveyed, I don’t need to get into the specifics  
of them, because that’s between you. I just want to make sure the record  
is clear that people are talking and that they’ve been communicated to the  
client.

14 MR. PESCI [prosecutor]: Nothing’s been communicated because  
15 there has been no specific offer relayed.

16 THE COURT: Okay, And that’s your prerogative. You don’t have to  
offer anything. I just want to know—that it’s stated here in open court—

17 MR. SCHWARZ [defense counsel]: That’s true, Judge.

18 THE COURT: —where that is. All right. Fair enough....

19 Transcript of Pre-Trial Conference, August 22, 2012, Exh. 45, pp. 2–3 (ECF No. 24-12,  
20 pp. 3–4). Nonetheless, Guzman contends—on “information and belief”—that the  
21 prosecution extended a plea offer and his trial counsel did not inform him of it.

22 The only evidence Guzman proffers, in his attempt to show that the prosecution  
23 extended a plea offer, that his counsel failed to convey the offer to him, that the offer  
24 was more favorable than the results of his trial, and that he would have accepted the  
25 offer had his counsel conveyed it to him, is a declaration of his trial counsel, signed on  
26 February 25, 2019, more than six years after his trial and more than a year after the  
27 conclusion of his first state habeas action. *See* Declaration of Michael Schwarz, Exh. 36  
28 (ECF No. 42-2). In that declaration, counsel states only:

1 I recall the State offered Mr. Guzman a plea deal before trial, but I do not  
2 recall the specifics of the deal.

3 *Id.* at ¶ 3. That brief, cryptic statement does not show that the prosecution extended a  
4 *formal* plea offer, that trial counsel failed to convey the offer to Guzman, that the offer  
5 was more favorable than the results of Guzman's trial, and that Guzman would have  
6 accepted the offer had counsel conveyed it to him. Even considering this declaration of  
7 trial counsel, Guzman's claim is insubstantial.

8 But furthermore, under *Shinn v. Ramirez*, 142 S. Ct. 1718 (2022), this Court  
9 cannot consider the declaration. The *Ramirez* Court held that in applying *Martinez*, "a  
10 federal habeas court may not conduct an evidentiary hearing or otherwise consider  
11 evidence beyond the state-court record based on ineffective assistance of state  
12 postconviction counsel" unless the petitioner satisfies the requirements of 28 U.S.C.  
13 § 2254(e)(2). *Ramirez*, 142 S. Ct. at 1734.

14 The *Ramirez* Court acknowledged that § 2254(e)(2) applies only when there has  
15 been "a failure to develop the factual basis of a claim," something that "is not  
16 established unless there is a lack of diligence, or some greater fault, attributable to the  
17 prisoner or the prisoner's counsel." *Id.* at 1735. The *Ramirez* Court explained that a  
18 prisoner bears the risk for all attorney errors unless counsel provides constitutionally  
19 ineffective assistance, and since there is no constitutional right to counsel in state post-  
20 conviction proceedings, "a prisoner ordinarily must 'bea[r] responsibility' for all attorney  
21 errors during those proceedings." *Id.* (quoting *Williams v. Taylor*, 529 U.S. 420, 432  
22 (2000)). "Among those errors," the Court explained, "a state prisoner is responsible for  
23 counsel's negligent failure to develop the state postconviction record." *Id.*

24 So, the Supreme Court held, in such a case, where the petitioner failed to  
25 develop the factual basis of a claim in state court, a federal court may order an  
26 evidentiary hearing or otherwise expand the state-court record only if the prisoner can  
27 satisfy the requirements of § 2254(e)(2). *Id.* Under § 2254(e)(2), if the petitioner has  
28 "failed to develop the factual basis of a claim in State court proceedings," a district court

1 cannot hold an evidentiary hearing on the claim unless (1) the claim relies on either a  
2 new rule of constitutional law made retroactive by the Supreme Court to cases on  
3 collateral review or a factual predicate that could not have been previously discovered  
4 through due diligence and (2) the facts underlying the claim would establish by clear  
5 and convincing evidence that but for constitutional error, no reasonable factfinder would  
6 have found the applicant guilty. 28 U.S.C. § 2254(e)(2).

7 Guzman did not assert the claim in Ground 9 in his first state habeas action, and,  
8 therefore, did not present the declaration of his trial counsel in that case—in fact,  
9 Guzman’s trial counsel did not sign the declaration until more than a year after  
10 Guzman’s first state habeas action was concluded. It was because Guzman failed to  
11 raise this claim in his first state habeas action that his second state habeas action was  
12 ruled procedurally barred. See Order of Affirmance, Exh. 53, pp. 2–3 (ECF No. 48-17,  
13 pp. 3–4). So, § 2254(e)(2) applies.

14 Guzman points out that he did present his counsel’s declaration in his second  
15 state habeas action. See Reply (ECF No. 92), pp. 62–63. But the state courts did not  
16 consider the declaration in that case because the claim was procedurally barred.  
17 Guzman argues that by presenting the declaration in his second, procedurally barred,  
18 state habeas action, he made a diligent, as opposed to negligent, effort to develop the  
19 facts underlying the claim. *Id.* This Court does not agree that filing evidence in a  
20 procedurally barred state action constitutes a diligent effort to develop the facts of a  
21 claim. See *Williams v. Taylor*, 529 U.S. 420, 437 (2000) (“Diligence will require in the  
22 usual case that the prisoner, at a minimum, seek an evidentiary hearing in state court in  
23 the manner prescribed by state law.”); *Roettgen v. Copeland*, 33 F.3d 36, 38 (9th Cir.  
24 1994) (“Submitting a new claim to the state’s highest court in a procedural context in  
25 which its merits will not be considered ... does not constitute fair presentation.”).  
26 Therefore, under *Ramirez*, Guzman failed to develop the factual basis of the claim in  
27 Ground 9 in state court, § 2254(e)(2) applies, Guzman does not meet the requirements  
28 of § 2254(e)(2), and this Court is barred from considering trial counsel’s declaration.

1 The reading of § 2254(e)(2) and *Ramirez* advocated by Guzman would lead to  
 2 an absurd result: to avoid the clear mandate of § 2254(e)(2) and *Ramirez*, a federal  
 3 habeas petitioner could simply file a second, obviously procedurally barred, state  
 4 habeas action, proffer evidence that would not be considered by the state courts, have  
 5 the petition denied as procedurally barred, and then return to federal court. This would  
 6 amount to nothing more than a meaningless waste of time and resources. The *Ramirez*  
 7 holding cannot be read to construe § 2254(e)(2) in that manner.

8 At any rate, because Guzman's claim of ineffective assistance of trial counsel in  
 9 Ground 9 is insubstantial, Guzman does not overcome the procedural default of the  
 10 claim. The Court will deny habeas corpus relief on Ground 9 as procedurally defaulted.

#### 11 **6. Grounds 10 and 11 – Alleged *Brady/Napue* Violations**

12 In Ground 10, Guzman claims that his federal constitutional rights were violated  
 13 because "[t]he State failed to disclose material exculpatory information regarding its key  
 14 witness and allowed that witness to testify falsely." Third Amended Petition (ECF No.  
 15 55), pp. 26–30. In Ground 11, Guzman claims that his federal constitutional rights were  
 16 violated on account of ineffective assistance of counsel because his trial counsel  
 17 "fail[ed] to investigate and present evidence regarding whether the State extended a  
 18 favorable deal to a witness." *Id.* at 30. The witness Guzman refers to in both claims is  
 19 Charles Deverna ("LC").

20 In the ruling on Respondents' motion to dismiss, the Court determined that these  
 21 claims are subject to application of the procedural default doctrine. See Order entered  
 22 February 24, 2022 (ECF No. 74), pp. 15–17. The question, therefore, is whether  
 23 Guzman can overcome the procedural default of the claims.

24 "The suppression by the prosecution of evidence favorable to an accused upon  
 25 request violates due process where the evidence is material either to guilt or to  
 26 punishment irrespective of the good faith or bad faith of the prosecution." *Brady v.*  
 27 *Maryland*, 373 U.S. 83, 87 (1963). "When the 'reliability of a given witness may well be  
 28 determinative of guilt or innocence,' nondisclosure of evidence affecting credibility falls

1 within [the *Brady*] rule.” *Giglio v. United States*, 405 U.S. 150, 154 (1972) (quoting  
 2 *Napue v. Illinois*, 360 U.S. 264, 269 (1959)). “There are three components of a true  
 3 *Brady* violation: The evidence at issue must be favorable to the accused, either because  
 4 it is exculpatory, or because it is impeaching; that evidence must have been suppressed  
 5 by the State, either willfully or inadvertently; and prejudice must have ensued.” *Strickler*  
 6 *v. Greene*, 527 U.S. 263, 281-82 (1999). “Evidence is material only if there is a  
 7 reasonable probability that, had the evidence been disclosed to the defense, the result  
 8 of the proceeding would have been different.” *United States v. Bagley*, 473 U.S. 667,  
 9 682 (1985). “A ‘reasonable probability’ of a different result is accordingly shown when  
 10 the government’s evidentiary suppression ‘undermines confidence in the outcome of the  
 11 trial.’” *Kyles v. Whitley*, 514 U.S. 419, 434 (1995) (quoting *Bagley*, 473 U.S. at 678).

12 The prosecution’s knowing use of “false evidence” to obtain a conviction similarly  
 13 infringes on a defendant’s due process rights. *Napue*, 360 U.S. at 269; *Giglio*, 405 U.S.  
 14 at 153 (“[D]eliberate deception of a court and jurors by the presentation of known false  
 15 evidence is incompatible with ‘rudimentary demands of justice.’”). “The same result  
 16 obtains when the State, although not soliciting false evidence, allows it to go  
 17 uncorrected when it appears.” *Napue*, 360 U.S. at 269. When a conviction is “obtained  
 18 by the knowing use” of false testimony, the verdict “must be set aside if there is any  
 19 reasonable likelihood that the false testimony could have affected the judgment of the  
 20 jury.” *United States v. Agurs*, 427 U.S. 97, 103 (1976).

21 In Ground 10, Guzman claims, “on information and belief,” that Deverna testified  
 22 falsely when he stated that he did not “[receive] any promises or negotiations from the  
 23 State in exchange for his testimony at Mr. Guzman’s trial.” Third Amended Petition  
 24 (ECF No. 55), p. 27. Guzman describes, as follows, the circumstances that he believes  
 25 imply that Deverna had a deal with the prosecution that was not disclosed to the  
 26 defense, about which Deverna lied at trial:

27 During the relevant time period, LC [Deverna] was facing various  
 28 charges. First, LC faced charges for possession of a stolen vehicle and  
 possession of burglary tools. The State initiated these charges on

1 February 2, 2011, before the shooting at issue in Mr. Guzman's case. ECF  
2 No. 14-1 (PEX. 1). Because LC had a series of prior felony convictions, he  
3 faced treatment under the "large" and "small" habitual criminal statutes,  
4 which impose higher sentencing ranges for defendants who've been  
5 convicted of multiple prior felonies. A defendant who is adjudicated under  
6 the "large" subsection faces penalties of ten to twenty-five years, life with  
7 the possibility of parole after ten years, or life without the possibility of  
8 parole. NRS 207.010(b). A defendant who is adjudicated under the "small"  
9 subsection faces a term of imprisonment of five years to twenty years.  
10 NRS 207.010(a). At the time, LC was eligible for sentencing under both  
11 subsections.

12 The justice court held a preliminary hearing on the charges on  
13 June 1, 2011, and it bound LC over for trial. The justice court's minutes  
14 reflect that the first district court appearance would be on June 14, 2011.  
15 ECF No. 14-2 (PEX. 2).

16 LC spoke to the police on June 7, 2011, the day after the incident  
17 and shortly after LC's preliminary hearing regarding these charges. LC  
18 gave a lengthy statement to the police. Toward the end of the  
19 interrogation, LC asked the police if they could "help me with my court  
20 date." ECF No. 14-6 (PEX. 6) at 84; *see also* ECF No. 24-6 (REX. 39) at  
21 19 (Tr. at 69). The police officer said, "June 14, yeah, we could probably  
22 work on that." ECF No. 14-6 (PEX. 6) at 84. (The "June 14" date probably  
23 refers to LC's first district court appearance on these charges.) The cop  
24 asked, "they were trying to give you the big bitch or small bitch." *Id.* In  
25 other words, the police officer was asking whether the prosecution was  
26 trying to get LC sentenced under the large habitual offender statute, or the  
27 small habitual offender statute. LC answered, "Big bitch." *Id.*

28 The State filed an information against LC on June 13, 2011. ECF  
No. 14-8 (PEX. 8). The information included a notice the State was  
requesting sentencing under the habitual offender statutes, although it  
didn't specify whether the State sought treatment under the large or the  
small statute. *Id.* at 4.

LC ultimately pled guilty to both counts on October 27, 2011 (after  
LC testified at Mr. Guzman's preliminary hearing but before Mr. Guzman's  
trial). The guilty plea agreement suggested LC received no benefits at all  
in exchange for the plea. ECF No. 14-10 (PEX. 10) at 2. It explained LC  
faced treatment under the "large" and "small" habitual criminal statutes.

At sentencing on December 29, 2011 (after Mr. Guzman's trial), the  
prosecutor asked the trial court to sentence LC under the small habitual  
criminal statute, not the large habitual criminal statute (ECF No. 14-13  
(PEX. 13) at 4)—even though LC told the police the prosecution originally  
wanted him sentenced under the large statute (ECF No. 14-6 (PEX. 6) at  
84). The trial court agreed not to adjudicate LC as a large habitual  
offender and imposed a total sentence of five years to twelve-and-a-half  
years. ECF No. 14-13 (PEX. 13) at 7.

Second, LC faced a charge for possession of a dangerous weapon,  
which he picked up only a month before the shooting, on May 6, 2011.  
ECF No. 14-3 (PEX. 3); ECF No. 14-4 (PEX. 4). He resolved this charge by  
pleading guilty to disorderly conduct on November 1, 2011 (between

1 Mr. Guzman's preliminary hearing and his trial). LC received a 120-day  
2 sentence. ECF No. 14-3 (PEX. 3).

3 Third, LC faced a new charge on July 6, 2011, for unlawful  
4 possession of drug paraphernalia; the State ultimately declined  
5 prosecution. ECF No. 15-15 (PEX. 31) (case no. PC11M30335X).

6 Fourth, LC wasn't arrested for any crimes in relation to the  
7 shooting, even though he'd likely been in possession of stolen property  
8 and was smoking meth that morning, had potentially been involved in  
9 Tony's plot to attack Mr. Guzman, and had endangered his son Nathan,  
10 among other things. See ECF No. 24-23 (REX. 56) at 196, 213. He also  
11 wasn't arrested after he spoke to the police the day after the shooting,  
12 even though he had outstanding warrants at the time. See ECF No. 14-3  
13 (PEX. 3) (bench warrant issued May 10, 2011). On information and belief,  
14 he also didn't lose custody of his son.

15 *Id.* at 27–29.

16 So, Guzman points to the State's alleged lenient treatment of Deverna with  
17 respect to various criminal charges and argues that the alleged lenient treatment implies  
18 that Deverna had some deal with the State regarding his testimony in Guzman's case.  
19 This is, at best, circumstantial evidence of a violation of Deverna's rights under *Brady*,  
20 *Giglio*, and *Napue*, and it is not strong circumstantial evidence at that. Deverna does not  
21 show it to be unusual—and it is not surprising to this Court—that Deverna would receive  
22 some benefit for entering plea agreements to resolve charges of possession of a stolen  
23 vehicle, possession of burglary tools, and possession of a dangerous weapon, and that  
24 he would not be prosecuted on charges of possession of drug paraphernalia or on  
25 charges for crimes that Guzman believes he committed in the course of the events  
26 underlying this case. Guzman proffers no direct evidence of any deal between Deverna  
27 and the State, and he does not proffer any evidence showing what the terms of any  
28 such deal were, how Deverna's testimony may have been affected, or how Guzman  
was prejudiced. So, even if the Court considers on their merits Guzman's claims as  
presented in this Court, the Court finds them to be speculative and insubstantial.

Furthermore, even if the Court were to consider the circumstantial evidence  
presented by Guzman to be of some weight, Guzman does not show cause and  
prejudice relative to the procedural default of the *Brady* claim in Ground 10. This is  
because Guzman does not claim that circumstantial evidence—the circumstances

1 regarding the resolution of the various criminal charges against Deverna, as described  
2 in Guzman's third amended petition—was withheld from him by the State, preventing  
3 him from asserting this claim in his first state habeas action. See *Banks v. Dretke*, 540  
4 U.S. 668, 691 (2004) (“[A] petitioner shows ‘cause’ when the reason for his failure to  
5 develop facts in state-court proceedings was the State’s suppression of the relevant  
6 evidence....”); *Paradis v. Arave*, 130 F.3d 385, 393 (1997) (“In order to establish cause  
7 for this successive claim, Paradis must show that he could not have known of it during  
8 his first habeas petition.”). Stated differently, to the extent Guzman now asserts in  
9 Ground 10 a claim based on *Brady*, *Giglio*, and *Napue*, he could have done the same in  
10 his first state habeas action. Guzman points to no evidence hidden by the State and  
11 only discovered by him after the completion of his first state habeas action, revealing  
12 the existence of this claim. Guzman makes no showing that any nondisclosure by the  
13 State was the cause of his failure to assert this claim in his first state habeas action.  
14 And furthermore, because Guzman has no evidence of the nature of any alleged deal  
15 between Deverna and the State, Guzman does not show prejudice relative to the  
16 procedural default.

17 Similarly, regarding the procedural default of the claim of ineffective assistance of  
18 trial counsel in Ground 11, even considering the circumstantial evidence presented by  
19 Guzman, finding that evidence to be weak, the Court determines that the claim is  
20 insubstantial, and that Guzman cannot show that his counsel in his first state habeas  
21 action was ineffective for not asserting the claim or that he was prejudiced. So, Guzman  
22 does not show cause and prejudice under *Martinez* relative to the procedural default of  
23 the claim in Ground 11.

24 Moreover, as with the claim in Ground 9, under *Ramirez*, this Court is not to  
25 consider the circumstantial evidence that Guzman claims shows there to have been a  
26 deal between Deverna and the State. Guzman failed to assert the claims in Grounds 10  
27 and 11 in his first state habeas action, and therefore did not present his circumstantial  
28 evidence in support of those claims in that case. It was because Guzman failed to raise

1 these claims in his first state habeas action that his second state habeas action was  
2 ruled procedurally barred. See Order of Affirmance, Exh. 53, pp. 2–3 (ECF No. 48-17,  
3 pp. 3–4). Therefore, under *Ramirez*, § 2254(e)(2) applies, Guzman does not satisfy the  
4 requirements of § 2254(e)(2), and this Court is barred from considering the evidence  
5 presented by Guzman in support of those claims and in support of his arguments that  
6 he can overcome the procedural default of the claims.

7 The Court will deny the claims in Grounds 10 and 11 as procedurally defaulted.

8 **C. Guzman's Motions for Discovery and Evidentiary Hearing**

9 Guzman filed a motion requesting leave of court to conduct discovery (ECF No.  
10 93) and a motion requesting an evidentiary hearing (ECF No. 94). The parties have fully  
11 briefed those motions (ECF Nos. 98, 99, 103, 104). Guzman requests leave of court to  
12 conduct discovery regarding Grounds 9, 10 and 11, and he requests an evidentiary  
13 hearing on Grounds 1, 2B, 3, 4, 5, 6A, 7, 8, 9, 10 and 11. The Court will deny Guzman's  
14 motions.

15 As is explained in Part III.B.5, above, the Court determines that, with respect to  
16 the claims denied as procedurally defaulted in this order, evidentiary development is  
17 unwarranted, under 28 U.S.C. § 2254(e)(2) and *Ramirez*, because Guzman failed to  
18 develop the factual bases of the claims in state court and he does not satisfy the  
19 requirements of that statute for development of evidence in this federal habeas action.  
20 See *Ramirez*, 142 S. Ct. at 1734–35. Indeed, Guzman does not make any argument at  
21 all that he can meet the requirements of § 2254(e)(2) for admissibility of any evidence  
22 he seeks to develop. See Motion for Leave to Conduct Discovery (ECF No. 93), Motion  
23 for Evidentiary Hearing (ECF No. 92), Reply in Support of Motion for Leave to Conduct  
24 Discovery (ECF No. 104), and Reply in Support of Motion for Evidentiary Hearing (ECF  
25 No. 103) (making only the argument that § 2254(e)(2) does not apply because Guzman  
26 made a diligent effort to develop the evidence in his second state habeas action, an  
27 argument rejected by this Court, above).

1 With respect to claims denied on their merits in state court, and denied on their  
2 merits in this order, this federal habeas court is not to consider evidence that was not  
3 presented in state court. *Pinholster*, 563 U.S. at 180 (“[R]eview under § 2254(d)(1) is  
4 limited to the record that was before the state court that adjudicated the claim on the  
5 merits.”); *see also Murray v. Schriro*, 745 F.3d 984, 999–1000 (9th Cir. 2014) (“After  
6 *Pinholster*, a federal habeas court may consider new evidence only on *de novo* review,  
7 subject to the limitations of § 2254(e)(2).”).

8 Therefore, this Court determines that there is no showing by Guzman that any of  
9 the evidentiary development he requests would lead to evidence that this Court could  
10 consider in this federal habeas corpus action. *See Shoop v. Twyford*, 596 U.S. 811,  
11 822–24 (2022) (holding that evidentiary development should have been denied by the  
12 district court where the habeas petitioner did not demonstrate that the evidence to be  
13 developed would be admissible). The Court will deny Guzman’s motions.

#### 14 **D. Certificate of Appealability**

15 For a certificate of appealability (“COA”) to issue, a habeas petitioner must make  
16 a “substantial showing of the denial of a constitutional right.” 28 U.S.C. § 2253(c).  
17 Additionally, where the district court denies a habeas claim on the merits, the petitioner  
18 “must demonstrate that reasonable jurists would find the district court’s assessment of  
19 the constitutional claims debatable or wrong.” *Slack v. McDaniel*, 529 U.S. 473, 484  
20 (2000). “When the district court denies a habeas petition on procedural grounds without  
21 reaching the prisoner’s underlying constitutional claim, a COA should issue when the  
22 prisoner shows, at least, that jurists of reason would find it debatable whether the  
23 petition states a valid claim of the denial of a constitutional right and that jurists of  
24 reason would find it debatable whether the district court was correct in its procedural  
25 ruling.” *Id.*; *see also James v. Giles*, 221 F.3d 1074, 1077–79 (9th Cir. 2000). Applying  
26 these standards, the Court finds that a certificate of appealability is unwarranted.  
27  
28

1 **IV. Orders**

2 **IT IS THEREFORE ORDERED** that Petitioner's Motion for Leave to Conduct  
3 Discovery (ECF No. 93) is **DENIED**.


4 **IT IS FURTHER ORDERED** that Petitioner's Motion for an Evidentiary Hearing  
5 (ECF No. 94) is **DENIED**.

6 **IT IS FURTHER ORDERED** that Petitioner's Third Amended Petition for Writ of  
7 Habeas Corpus (ECF No. 55) is **DENIED**.

8 **IT IS FURTHER ORDERED** that Petitioner is denied a certificate of appealability.

9 **IT IS FURTHER ORDERED** that the Clerk of the Court is directed to enter  
10 judgment accordingly and close this case.

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12 DATED THIS 10th day of January, 2024.

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HOWARD D. MCKIBBEN  
15 UNITED STATES DISTRICT JUDGE  
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